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C. Brant Cook	39,151
Name of Attorney	Registration No.
<i>C. Brant Cook</i>	
Signature of Attorney	

P&G Case 7343M

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of	:	
Jean-Francois Bodet et al.	:	Confirmation No. 1416
Serial No. 09/831,196	:	Group Art Unit 1751
Filed May 7, 2001	:	Examiner Not yet assigned

For Ultrasonic Cleaning Compositions

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made below in a Form PTO/SB08 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

1. ☐ 37 C.F.R. §1.97 (b)(1) - **U.S. Direct (within 3-months of filing a regular application or converted provisional)**

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(1), is being filed within three months of the filing date of a national application. Therefore, no fee is believed to be due.

2. ☐ 37 C.F.R. §1.97 (b)(2) - **Via PCT (within 3 mo. of Nat'l Stage Entry)**

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(2), is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application. Therefore, no fee is believed to be due.

3. ☒ 37 C.F.R. §1.97 (b)(3) - (>3 mo. after filing direct or nat'l stage entry, but before 1st O.A.)

This information disclosure statement is being submitted under 37 C.F.R. §1.97 (b)(3). Applicants have not received an Office Action on the merits in the present application. Therefore, no fee is believed to be due. However, in the event that this paper is crossing in the mail with a first Office Action on the merits, authorization is hereby given to charge the required fee pursuant to 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) to Deposit Account No. 16-2480 in the name of The Procter & Gamble Company. A duplicate of this letter is enclosed to facilitate charging of the fee, if necessary.

THE FOLLOWING IS ADDITIONAL INFORMATION PERTAINING TO (2) OR (3) MARKED WITH AN (X) ABOVE.

(a) ☐ The Notification of Acceptance of this Application Under 35 U.S.C. §371 indicates that both a copy of the International Search Report and copies of the references cited therein are present in the national stage file. In accordance with MPEP §1893.03(g), it is respectfully requested that the Examiner note the consideration of these references in the first Office Action via the PTO-892 form.

(b) ☐ The Notification of Acceptance of this Application Under 35 U.S.C. §371 indicates that a copy of the International Search Report is present in the national stage file. Copies of the references cited in that report are enclosed.

(c) ☐ The Notification of Acceptance of this Application Under 35 U.S.C. §371 does not indicate that a copy of the International Search Report and copies of the references cited are present in the national stage file. Copies of the International Search Report and references are attached.

4. ☐ 37 C.F.R. §1.97 (b)(4) - (before the mailing of a first Office Action after the filing of a request for continued examination under §1.114)

This information disclosure statement, submitted under 37 C.F.R. §1.97(b)(4), is being filed with the Request for Continued Examination (RCE) under 37 C.F.R. §1.114.

5. ☐ Information to be Considered with CPA Filing. This information disclosure statement is being filed with a Continued Prosecution Application (CPA) filed under 37 CFR 1.53(d).

6. ☐ 37 C.F.R. §1.97(c) with fee payment - (use after 1st Office Action & before Final Office Action or Notice of Allowance)

This information disclosure statement is being submitted under 37 C.F.R. §1.97(c). Applicant(s) have not received a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (e.g., *Ex parte Quayle*) as of the date of this submission. Applicant(s) elect to pay the fee set forth in 37 C.F.R. §1.17(p). Please charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account Number 16-2480 in the name of The Procter & Gamble Company. A duplicate copy of this letter (or a fee transmittal form) is enclosed to facilitate the charging of the fee.

ADDITIONAL ITEMS TO BE NOTED BY THE EXAMINER:

☐ (1) Copies of the cited references were previously cited by or submitted to the USPTO in prior application Case No. ____, U.S. Patent Application Serial No. __, filed __. Applicants claim priority to said application under 35 U.S.C. §120. Accordingly, copies of those documents are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d).

OR

☒ (2) Copies of the cited documents are enclosed.

OR

☐ (3) Copies of all said documents, except document No.'s _____, were submitted and considered in parent application U.S. Patent Application Serial No. _____, filed _____. Applicant(s) claim priority to said application under 35 U.S.C. §120. Accordingly, copies of document No.'s _____ are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d). Copies of document No.'s _____ are enclosed. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case.


☐ (4) Pursuant to 37 C.F.R. §1.98(c), a concise explanation of the relevance of each cited reference that is not in the English language is provided.

☒ (5) Applicants also respectfully request the Examiner to consider and make of record the copending applications listed on the attached page.



Additional information is attached.

Respectfully submitted,

By 
C. Brant Cook
Attorney or Agent for Applicant(s)
Registration No. 39,151
(513) 627-2013

Date: January 16, 2003

Customer No. 27752

(IDS.doc)
(Last Revised 5/21/02)



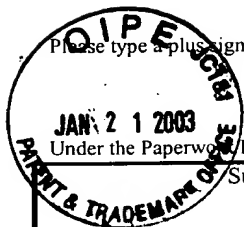
COPENDING APPLICATIONS

Entire copies of all co-pending applications (or the portion of the application and claims that caused it to be cited) must be sent with the IDS (see 37 CFR 1.98(a)(2)(iii))

<u>Atty. Docket No.</u>	<u>Serial Number</u>	<u>Inventor(s)</u>	<u>Filing Date</u>	<u>Portion</u>
8745	10/272,883	DuVal et al.	10-17-02	Claims
8744	10/272,966	Gaaloul et al.	10-17-02	Claims
7865C	10/147,538	Powers et al.	5-16-02	Claims
7864C	10/147,653	Van Hauwermeiren	5-16-02	Claims
7342M	09/831,195	McKenzie et al.	5-07-01	Claims
7341	09/831,783	McKenzie et al.	5-14-01	Claims
7022 C	10/128,091	Hortel et al.	4-23-02	Claims
7021C	10/151,337	Hortel et al.	5-20-02	Claims
CM2236UC	10/147,663	Van Hauwermeiren	5-16-02	Claims
CM2235UC	10/147,768	Bodet et al.	5-16-02	Claims

[ones that are pending at time of this filing; others that have issued get cited on the PTO/SB08.]

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

SHEET 1 of 2

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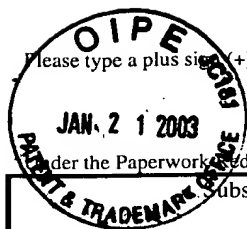
Application Number	09/831,196
Confirmation Number	1416
Filing Date	May 7, 2001
First Named Inventor	Jean-Francois Bodet
Group Art Unit	1751
Examiner Name	Not yet assigned
Attorney Docket Number	7343M

U. S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. ¹	DOCUMENT NUMBER	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-3,849,195	11-19-1974	Powell, Jr. et al.	
		US-3,946,599	03-30-1976	Patt	
		US-4,069,541	01-24-1978	Williams et al.	
		US-4,103,519	08-01-1978	Davidson	
		US-4,168,560	09-25-1979	Doyel	
		US-4,183,011	01-08-1980	Massa	
		US-4,225,803	09-30-1980	Goof	
		US-4,250,586	02-17-1981	Timian	
		US-4,307,484	12-29-1981	Williams	
		US-4,308,229	12-29-1981	Voit	
		US-4,448,750	05-15-1984	Fuesting	
		US-4,830,784	05-16-1989	Meffert et al.	
		US-5,202,523	04-13-1993	Grossman et al.	
		US-5,218,980	06-15-1993	Evans	
		US-5,247,716	09-28-1993	Bock	
		US-5,309,590	05-10-1994	Giuliani et al.	
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		US-5,467,492	11-21-1995	Chao et al.	
		US-5,529,788	06-25-1996	De Senna	
		US-5,640,960	06-24-1997	Jones et al.	
		US-5,697,115	12-16-1997	Sciarra et al.	
		US-5,718,014	02-17-1998	DeBlois et al.	
		US-5,770,801	06-23-1998	Wang et al.	
		US-5,849,039	12-15-1998	Sadlowski	
		US-5,863,299	01-26-1999	Holt et al.	
		US-5,872,090	02-16-1999	You et al.	
		US-5,891,197	04-06-1999	Young et al.	
		US-5,906,687	05-25-1999	Masui et al.	
		US-6,004,403	12-21-1999	Gray et al.	
		US-6,376,444 B1	04-23-2002	Hortel et al.	
		US-6,391,061 B1	05-21-2002	Hortel et al.	
		US-2001/0037537 A1	11-08-2001	Kitaori et al.	
		US-2002/0036444 A1	03-28-2002	Yamashiro et al.	
EXAMINER			DATE CONSIDERED		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

SHEET 2 of 2

COMPLETE IF KNOWN

Application Number	09/831,196
Confirmation Number	1416
Filing Date	May 7, 2001
First Named Inventor	Jean-Francois Bodet
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. ¹	FOREIGN PATENT DOCUMENT	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		DE 197 12 401 C1	05-20-1998	Krupp VDM GmbH	English Abstract	Y
		DE 35 34 898 A1	04-09-1987	Durr-Dental GmbH	English Abstract	Y
		EP 128 277 B1	02-03-1988	Lex, Franz	English Claims	Y
		EP 856 277 A1	08-05-1998	Henkel	English Translation	Y
		FR 1.102.562	10-24-1955	Ateliers de Constructions Electriques de Charleroi	English Explanation	Y
		GB 2 237 504 A	05-08-1991	Kerry Ultrasonics Limited		
		JP 04-050361	02-19-1992	Toyo Kikai Shoji KK	English Abstract	Y
		JP 09-205074	08-05-1997	Sony Corp.	English Abstract	Y
		JP 10-165228	06-23-1998	Kanetsuki Sumio	English Translation	Y
		JP 11-047601	02-23-1999	Sharp Corp.	English Abstract	Y
		JP 2000-157941 A	06-13-2000	Kao Corporation	English Translation	Y
		JP 2001-113087 A	04-24-2001	Kao Corporation	English Translation	Y
		JP 2001-205206	07-31-2001	Kao Corporation	English Translation	Y
		JP 2001-310094	11-06-2001	Kao Corporation	English Translation	Y
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		JP 2001-310165	11-06-2001	Kao Corporation	English Translation	Y
		JP 2002-035485 A	02-05-2002	Kao Corporation	English Translation	Y
		JP 2002-102578 A	04-09-2002	Kao Corporation	English Translation	Y
		JP 2002-166238 A	06-11-2002	Kao Corporation	English Translation	Y
		JP 2002-186921 A	07-02-2002	Kao Corporation	English Translation	Y
		JP 2002-191892 A	07-10-2002	Kao Corporation	English Translation	Y
		JP 2002-191893 A	07-10-2002	Kao Corporation	English Translation	Y
		JP 61-199829	09-04-1986	Chan Shin Run	English Abstract	Y
		JP 61-249500	11-06-1986	Keisuke Honda	English Translation	Y
		WO 97/26821	07-31-1997	The Procter & Gamble Co.		
		WO 97/29178	08-14-1997	The Procter & Gamble Co.		
		WO 98/14985	04-09-1998	Verteq, Inc.		
EXAMINER				DATE CONSIDERED		

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¹Applicant's unique citation designation number (optional). ²See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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